

<u>IA Ref</u>	<u>Audit Title</u>	<u>2013/14</u> <u>Days</u>	<u>Position as at</u> <u>end August</u> <u>2013</u>	<u>Opinion</u>
Carry over Work				
	Completion of reviews in progress as at 31st March	150		
	2012/13 Phase 2 Accounts Payable/Purchase Ledger (including feeder systems)		Draft report in Progress	Phase 1 Adequate
	2012/13 Phase 2 Accounts Receivable/Sales Ledger		Final report issued	Adequate
	2012/13 Phase 1 Asset Management (incl. Asset Register)/Capital Accounting		Final report issued	Adequate
	2012/13 Phase 2 Asset Management (incl. Asset Register)/Capital Accounting		Draft report issued	Phase 1 Adequate
	2012/13 Phase 2 Council Tax		Final report issued.	Full
	2012/13 Phase 2 Housing Benefits		Final report issued	Adequate
	2012/13 Phase 2 Main Accounting Systems (MAS)		Final report issued	Adequate
	2012/13 Phase 2 National Non Domestic Rates NNDR		Final report issued	Full
	2012/13 Phase 2 Payroll		Final draft issued	Phase 1 Limited
	SWIFT Financials		Final report issued	Adequate
	2012/13 Phase 2 Treasury Management		Final report issued	Adequate
	2012/13 SAP Access and Security		Draft report in Progress	
	2012/13 IT Disaster Recovery		Draft report issued	
	Grants Register		Final report issued	Adequate
	Contractors Home to Work mileage		Briefing note in progress	n/a
	ICT Contract Management		Scoping in Progress	
	IT Governance Phase 2		Work planned	
	SWIFT Disaggregation Consultancy		Completed	n/a
	Contract Management - Amey		In progress	
	Data Quality		In progress	
	Ethical Governance		Draft report issued	
	Biggleswade Day Centre		Report drafted	
	Leighton Buzzard Day Centre		Report drafted	

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	Domiciliary Care Units		Draft report issued	
	Direct Payments for Care		Report drafted	
	Service Level Agreements - Follow Up		Final report issued	Satisfactory progress
	Hawthorn Park Lower School Follow Up		Final report issued	Satisfactory progress
	Sutton Lower School		Final report issued	Adequate
	Templefield Lower School		Final report issued	Adequate
	The Lawns Nursery		Final report issued	Adequate
Total - Carry over Work		150		
Managed Audits (Key Financial Systems)				
	Accounts Payable/Purchase Ledger (including feeder systems)	35	Scoping in progress	
	Accounts Receivable/Sales Ledger	30	Scope agreed	
	Asset Management (incl. Asset Register)/Capital Accounting	30	Scoping in Progress	
	Council Tax	35	Scoping in Progress	
	Housing Benefits (including Council Tax Support Scheme)	45	Scoping in Progress	
	Main Accounting Systems (MAS)	30	Scope Agreed	
	National Non Domestic Rates NNDR	35	Scoping in Progress	
	Payroll	40	Scope Agreed	
	SWIFT Financials	15	Scoping in Progress	
	Treasury Management	25	Scoping in Progress	
	Housing Rents including tenant arrears	25	Scoping agreed	
	Cash And Banking (Non Invoiced Income)	20	Scoping in Progress	
Total - Managed Audits		365		
Assurance Audits - Organisation and People				
	Recruitment Controls (including vetting)	15	Not started	
	Data Quality	15	Not started	
Total- Organisation and People		30		
Assurance Audits - Corporate Services				
	Teachers Pensions	15	Scoping in progress	

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	Major projects - Consultancy Impact of Welfare Reform	20 15	Ongoing. Work undertaken includes ESS/MSS, Routewise, and the Local Welfare Provision Board Not started	n/a
	Adherence to Procurement Procedures	15	Scoping in Progress	
	DSG - outturn compilation	5	Fieldwork commenced	
Total - Corporate Services		70		
Assurance Audits - Children's Services				
	Schools General - School Improvement	80	Ongoing. 4 final reports issued, 4 draft reports issued, and 4 further visits undertaken with reports still to be written	
	Corporate Financial Management	10	Scoping in Progress	
Total - Children's Services		90		
Assurance Audits - Social Care, Health and Housing				
	Housing Repairs	25	Fieldwork commenced	
	Domiciliary Care Framework Agreement	10	Not started	
	Financial Management	15	Scoping in Progress	
	Direct Payments	15	Not started	
	Independent review of Care Homes Contract Model	10	Scope agreed	
Total - Social Care, Health and Housing		75		
Assurance Audits - Sustainable Communities				
	Section 278 Agreements	15	Not started	
Total - Sustainable Communities		15		
Assurance Audits - Governance				
	Officers Declaration of Interests - Follow Up	5	Final report issued	Satisfactory progress

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		Days		
	Members Declaration of Interests	5	Fieldwork commenced	
	Officers Hospitality and Gifts - Follow Up	5	Not started	
	Members Hospitality and Gifts - Follow Up	5		
Total - Governance		20		
Assurance Audits - Contracts and Partnerships				
	Contracts Management	20	Not started	
	Value for Money review of spend on legal advice	15	In progress	
Total - Contracts and Partnerships		35		
Assurance Audits - ICT				
	Customer First Information Security	15	Not started	
	IT Disaster Recovery	10	Not started	
	SAP Access and Security	10	Not started	
	ICT Governance Phase 2	15	Not started	
	IT Network Management and Security	15	Not started	
Total - ICT		65		
Assurance Audits - Public Health				
	Review of SLAs	15	Not started.	
Total - Public Health		15		
Special Investigations				
	National Fraud Initiative (NFI)	20	ongoing throughout year	n/a
	Special Investigations	40	ongoing	n/a
	Pro Active Anti Fraud:			
	Timesheet claims	10	Not started	
	Expense claims	10	Not started	
Total - Special investigations		80		
Ad Hoc Consultancy etc,				
	Risk Management Activities	35	ongoing throughout year	n/a
	Supporting Annual Governance Statement	5	12/13 AGS finalised	n/a
	Audit of Individual Grants	20	ongoing	n/a
	General Advice	20	ongoing throughout year	
	Head of Audit Chargeable Against Plan	60	ongoing throughout year	n/a
	Benchmarking Exercise	5	Completed	n/a
	Contingency	105	To date this has been used to supplement the consultancy budget for projects	
Total - Ad Hoc Consultancy etc.		250		
TOTAL CHARGEABLE DAYS REQUIRED		1260		